

FORM PTO-1390 (REV 11-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 450101-02901
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (If known see 37 C.F.R. 1.5) 09/913577
INTERNATIONAL APPLICATION NO. PCT/JP00/08914	INTERNATIONAL FILING DATE 15 DECEMBER 2000	PRIORITY DATE CLAIMED 17 DECEMBER 1999	
TITLE OF INVENTION INFORMATION PROCESSING APPARATUS AND METHOD, AND PROGRAM STORAGE MEDIUM			
APPLICANT(S) FOR DO/EO/US Toshihiro MORITA, Mitsuyuki HATANAKA, Kiyonobu KOJIMA, Ippei TAMBATA and Shin SHIROMA			

Applicants herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- ☒ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
- ☐ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31)
- ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - ☐ is attached hereto (required only if not communicated by the International Bureau).
 - ☒ has been communicated by the International Bureau.
 - ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
- ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - ☐ are attached hereto (required only if not communicated by the International Bureau).
 - ☐ have been communicated by the International Bureau.
 - ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - ☒ have not been made and will not be made.
- ☐ A English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4))
- ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

- ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- ☐ A FIRST preliminary amendment.
- ☐ A SECOND or SUBSEQUENT preliminary amendment.
- ☐ A substitute specification.
- ☐ A change of power of attorney and/or address letter.
- ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825
- ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
- ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- ☒ Other items or information:
 PCT/RO/101, PCT/ISA/210
 PCT/IB/301, 304, 308
 54 Sheets of Drawings, 1 Page Abstract

EXPRESS MAIL

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Date of Deposit: **August 15, 2001**

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"Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents and Trademarks, Box PCT Washington, DC 20231

Edward Nay
 (Typed or printed name of person mailing paper or fee)
[Signature]
 (Signature of person mailing paper or fee)

Rec'd PCT/PTO 15 AUG 2001

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.50) 09/913577		INTERNATIONAL APPLICATION NO. PCT/JP00/08914		ATTORNEY'S DOCKET NO. 450101-02901																																																				
21. <input checked="" type="checkbox"/> The following fees are submitted				CALCULATIONS PTO USE ONLY																																																				
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.\$1000 00 International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860 00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$100 00				<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%;">ENTER APPROPRIATE BASIC FEE AMOUNT =</td> <td style="width:50%; text-align: right;">\$ 860.00</td> </tr> <tr> <td>Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</td> <td style="text-align: right;">\$</td> </tr> <tr> <td> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:20%;">CLAIMS</th> <th style="width:20%;">NUMBER FILED</th> <th style="width:20%;">NUMBER EXTRA</th> <th style="width:20%;">RATE</th> <th style="width:20%;">\$</th> </tr> <tr> <td>Total Claims</td> <td style="text-align: center;">20 - 20 =</td> <td style="text-align: center;">0</td> <td>x \$18.00</td> <td style="text-align: right;">\$ 0.00</td> </tr> <tr> <td>Independent Claims</td> <td style="text-align: center;">6 - 3 =</td> <td style="text-align: center;">3</td> <td>x \$80.00</td> <td style="text-align: right;">\$ 240.00</td> </tr> </table> </td> <td style="text-align: right;">\$</td> </tr> <tr> <td>MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td style="text-align: right;">+ \$270.00</td> <td style="text-align: right;">\$</td> </tr> <tr> <td colspan="2" style="text-align: right;">TOTAL OF ABOVE CALCULATIONS =</td> <td style="text-align: right;">\$ 1,100.00</td> </tr> <tr> <td><input type="checkbox"/> Applicant claims small entity status. 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a. <input checked="" type="checkbox"/> One check in the amount of \$ 1,100.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-0320</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.																																																								
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.																																																								
SEND ALL CORRESPONDENCE TO: WILLIAM S. FROMMER, ESQ. FROMMER LAWRENCE & HAUG LLP 745 FIFTH AVENUE NEW YORK, NEW YORK 10151																																																								
Dated: <u>August 15, 2001</u>		<div style="text-align: center;"> SIGNATURE WILLIAM S FROMMER NAME 25,506 REGISTRATION NUMBER </div>																																																						

JC20 Rec'd PCT/PTO 15 AUG 2001
09/913577

Attorney Docket No. 450101-02901

New Patent Application filed August 15, 2001, entitled:

**INFORMATION PROCESSING APPARATUS AND METHOD, AND PROGRAM
STORAGE MEDIUM**

corresponding to PCT Application No. PCT/JP00/08914

filed December 15, 2000

Express Mail No.: EL 742691663US

Date of Deposit: August 15, 2001

I hereby certify that this application and the accompanying papers are being
deposited with the United States Postal Service "Express Mail Post Office to Addressee"
service under 37 CFR 1.10 on the date indicated above and is addressed to:

Box PCT
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Washington, D.C. 20231.

